## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80359

Xavier FOURQUIN, et al.

Appln. No.: Not Assigned

Confirmation No.: Not Assigned Group Art Unit: Not Assigned

Filed: March 18, 2004 Examiner: Not Assigned

For: AUDIO DEVICE

## <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT ATTORNEY DOCKET NO. Q80359

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicants enclose herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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Date: March 18, 2004

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|---------------------------------------|-----|---|----|-------------------|--|----------------------------------|---------------------------|-----|
| Substitute for Form 1449 A & B/PTO    |     |   |    | Complete if Known |  |                                  |                           |     |
| Substitute for Portin 1445 A & B/1 PO |     |   |    |                   |  | Application Number               | Not Assigned              |     |
| INFORMATION DISCLOSURE                |     |   |    |                   |  | Confirmation Number Not Assigned |                           |     |
| STATEMENT BY APPLICANT                |     |   |    |                   |  | Filing Date                      | March 18, 2004            |     |
| _                                     |     |   |    |                   |  | First Named Inventor             | Xavier FOURQUIN           |     |
| (use as many sheets as necessary)     |     |   |    |                   |  | Art Unit                         | Not Assigned Not Assigned |     |
|                                       |     |   |    |                   |  | Examiner Name                    |                           |     |
| Sheet                                 | t I | 1 | 01 | f 1               |  | Attorney Docket Number           | O80359                    |     |

| U.S. PATENT DOCUMENTS |                          |            |   |                                |   |  |  |
|-----------------------|--------------------------|------------|---|--------------------------------|---|--|--|
| Examiner<br>Initials* |                          | Document N | Number                                  |                                | Name of Patentee or Applicant of Cited Document |  |  |
|                       | Cite<br>No. <sup>1</sup> | Number     | Kind<br>Code <sup>2</sup><br>(if known) | Publication Date<br>MM-DD-YYYY |   |  |  |
|                       |                          | US         |   |                                |   |  |  |
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| FOREIGN PATENT DOCUMENTS     |                         |                              |                     |                                   |                     |                             |                          |  |
|------------------------------|-------------------------|------------------------------|---------------------|-----------------------------------|---------------------|-----------------------------|--------------------------|--|
| Examiner Cite Initials* No.1 | Foreign Patent Document |                              |                     | Publication Date                  | Name of Patentee or |                             |                          |  |
|                              |                         | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          | Applicant of Cited Document | Translation <sup>6</sup> |  |
|                              |                         | JP                           | 2001-197168         | Α                                 | 07-19-2001          | Yamaha Corp                 | NO                       |  |
|                              |                         | JР                           | 09-179572           | A                                 | 07-11-1997          | Taito Corp.                 | W/English<br>Abstract    |  |
|                              |                         | JP                           | 11-289361           | Α                                 | 10-19-1999          | NEC Corp                    | No                       |  |
|                              |                         | EP                           | 1 363 272           | A1                                | 11-19-2003          | Alcatel                     | No                       |  |
|                              |                         | EP                           | 1 014 674           | A1                                | 06-28-2000          | Nokia Mobile Phones Ltd.    | Yes                      |  |
|                              |                         |                              |                     |                                   |                     |                             |                          |  |
|                              |                         |                              |                     |                                   |                     |                             |                          |  |
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| NON PATENT LITERATURE DOCUMENTS |  |  |   |  |  |  |
|---------------------------------|--|--|---|--|--|--|
| Examiner Cite<br>Initials* No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. |  |   |  |  |  |
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| Examiner Signature |  | <br>Date Considered |  |
|--------------------|--|---------------------|--|

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.